RFC Editor Tutorial





- 1. Background: The RFC Series and the RFC Editor
- 2. The Publication Process
- 3. Contents of an RFC
- 4. How to Write an RFC
- 5. Conclusion

1. The RFC Series

- Earliest document series to be published online.
 - 1969 today: 36 years old.
 - 4100+ documents.
- An ARCHIVAL series: RFCs are forever!
- A comprehensive record of Internet technical history



- RFC document series
 - Begun by Steve Crocker [RFC 3] and Jon Postel in 1969.
 - Informal memos, technical specs, and much more.
- Jon Postel quickly became the RFC Editor.
 - 28 years: 1970 until his death in 1998.
 - He established and maintained the consistent style and editorial quality of the RFC series.
 - Jon was a 2-finger typist.

Jon Postel

Postel had an enormous influence on the developing ARPAnet & Internet protocols – the "Protocol Czar" and the "Deputy Internet Architect" as well as the IANA and RFC Editor.

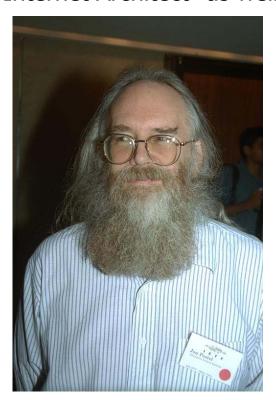
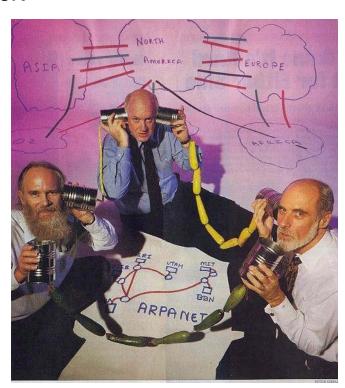


Photo by Peter Lothberg – IETF34 Aug 1995



Newsweek Aug 8, 1994





1975: TCP/IP research begun

Recorded in separate IEN series

1983: Internet born 1 Jan

1985: IETF created

1993: Modern IESG/IAB org

1998: Postel passed away

Today

RFC 1

~RFC 700

~RFC 830

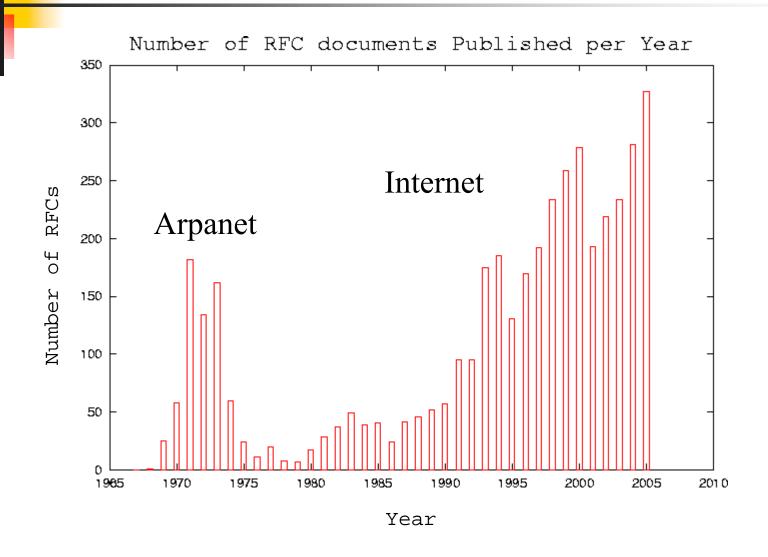
~RFC 950

~RFC 1400

~RFC 2430

~RFC 4400

RFC Publication Rate



Jon Postel's Playful Side

- April 1 RFCs
 - A little humorous self-parody is a good thing...
 - Most, but not all, April 1 RFCs are satirical documents.
 - We expect you can tell the difference ;-)
- April 1 submissions are reviewed for cleverness, humor, and topical relation to IETF themes.
 - Avian Carriers is famous [RFC 1149]
 - The Evil Bit is my favorite [RFC 3514]

The RFC Editor today

- A small group at Jon's long-term home,
 - the Information Sciences Institute (ISI) of USC.
 - ~6 FTEs
- Under contract with ISOC/IASA
- Current leadership:
 - Joyce Reynolds, Postel's chief editorial assistant 83-98.
 - Bob Braden, colleague of Postel 1970-1998.
 - Aaron Falk, relative newcomer.
- RFC Editorial Board
 - Provides advice and counsel to the RFC Editor, particularly about independent submissions.

Editorial Staff



Joyce Reynolds



Sandy Ginoza



Alice Hagens



Eric Nord





- Search engines for RFCs, Internet Drafts
- RFC publication queue
- Master index of RFCs
 - ftp://ftp.rfc-editor.org/in-notes/rfc-index.txt, .xml
- "Official Internet Protocols Standards" list
- Policy changes, news, FAQ, and more
- Errata (see next slide)

Errata Page

- www.rfc-editor.org/errata.html
 - A list of technical and editorial errors that have been reported to the RFC Editor.
 - Verified by the authors and/or the IESG.
 - The RFC Editor search engine results contain hyperlinks to errata, when present.
- Pending errata a file of emails
 - Claimed errata that have been reported to the RFC Editor, but not verified or posted to errata.html.

RFCs and the IETF

It was natural to adapt the existing RFC series to publication of Internet standards specifications.

Informally: mid 1980s

Formally: RFC 1602 (1994), RFC 2026 (1996)

RFC Categories

- RFC 2026 defines specification maturity levels:
 - Standards track: Proposed, Draft, Standard.
 - Non-standards track: Experimental, Informational, Historic.
 - "Almost standard": Best Current Practice.
- Shown on RFC header as "Category:"
 - Except, one category "Standards Track" for PS, DS, S.
 - Often called "status".
- A published RFC can NEVER change, but its category can change (see rfc-index.txt).



IETF submissions

- Mostly from Working Groups.
- Rest are individual submissions via the IESG.
- All are submitted to the RFC Editor by the IESG after approval process [RFC2026].

IAB submissions

- Submitted directly by IAB Chair
- Informational category

More RFC Sources

- RFC Editor ("independent") submissions
 - Submitted directly to RFC Editor.
 - RFC Editor reviews and decides whether publication is appropriate.
 - IESG reviews for conflict with any WG, makes publish/do-not-publish recommendation.
 - RFC Editor has final decision, with advice from Editorial Board.
 - Only Experimental or Informational category.
- IRTF submissions: see draft-irtf-rfcs-00.txt

Review of Independent Submissions

- RFC Editor finds competent reviewer(s), with advice and aid from the Editorial Board.
- Possible conclusions:
 - Out of scope for RFC series.
 - Incompetant or redundant, not worth publication.
 - Important, but should go through IETF process first ("Throw it over the wall to the IESG!")
 - Serious flaws report to author, reject for now.
 - Suggest changes to author, then OK to publish.
 - Great! Publish it.

RFC Sub-Series

- All RFCs are numbered sequentially.
- There was a desire to identify significant subsets of RFCs, so Postel invented "sub-series". An RFC may have a sub-series designator.
 - e.g., "RFC 2026, BCP 9"
- Sub-series designations:
 - BCP Best Current Practice category
 - STD Standard category
 - FYI Informational category: user documentation

STD Sub-Series

- Originally: all protocol specs were expected to quickly reach (full) Standard category.
 - Then the STD sub-series would include all significant standards documents.
 - Of course, it did not work out that way; most standards-track documents do not get beyond Proposed Standard.
 - See "Official Internet Protocol Standards"
 - See: www.rfc-editor.org/rfcxx00.html (occasionally published as STD 1) for the REAL list of current relevant standards-track docs.

STD Sub-Series

- STDs were overloaded to represent "complete standards"; one STD # can contain multiple RFCs.
- Examples:
 - STD 5 = "IP", includes RFCs 791, 792, 919, 922, 950,
 1112
 - STD 13 = "DNS", includes RFCs 1034, 1035
 - STD 12 = "Network Time Protocol", currently no RFCs.

STDs as Protocol Names

- Really, "RFCxxxx" is only a document name.
 - But, people often talk about "RFC 821" or "821" when they mean "SMTP".
- As protocols evolve, RFC numbers make confusing names for protocols. Postel hoped that STD numbers would function as protocol names.
 - But reality is too complicated for this to work well.
 - It HAS been working for BCPs.
- We need a better way to name protocols.
 - ISD (Internet Standards Document) proposal ??



- Overview
- Queue states
- AUTH48 procedure

Publication Process: Overview (1)

- First published as an Internet Draft
 - A well-formed RFC starts with a well-formed I-D.
 - http://www.ietf.org/ID-Checklist.html
 - http://www.ietf.org/ietf/1id-guidelines.txt
 - Send us the xml2rfc or nroff -ms source, if available.

Publication Process: Overview (2)

- RFC Editor
 - Edits and formats the document
 - Makes many consistency checks

- IANA acts on IANA Considerations
 - Creates new registries and assigns numbers.
 - RFC Editor plugs assigned numbers into document.

Publication Process: Overview (3)

- An RFC # is assigned.
- Document and diff file sent to authors for final check
 - "AUTH48" state.
 - All named authors are responsible.
- Finished document added to archive and index.
 - Announcement on ietf-announce list.
 - Mirrored at IETF site, other sites.
 - Nroff, xml files archived, for later revisions.

Markup in Editing (1)

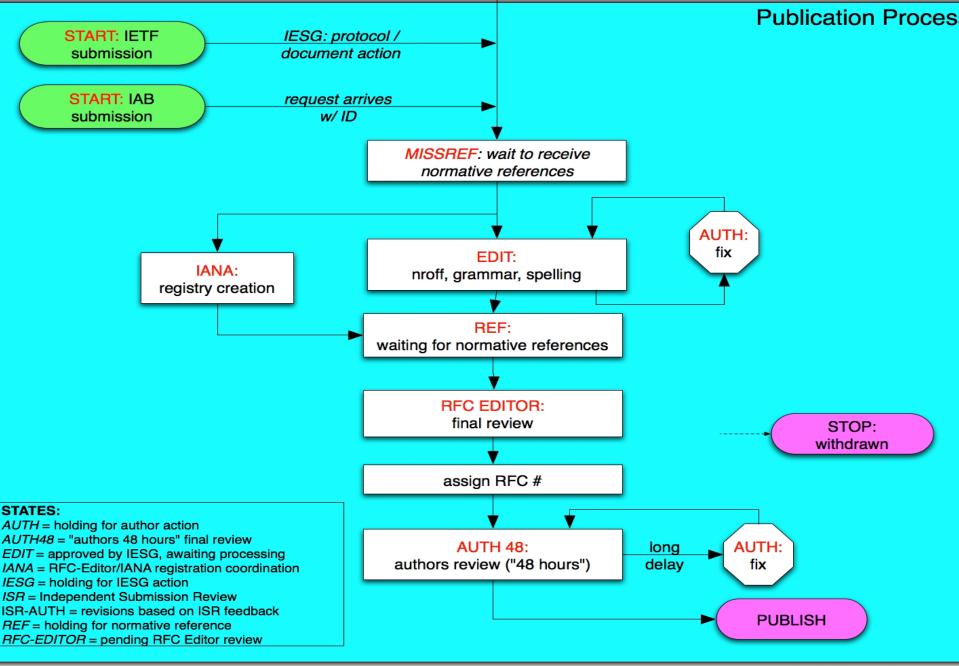
- When xml2rfc is not used:
- ASCII publication markup done using nroff –ms.
 - Nroff provides direct, explicit format control
- Final products -- files created and archived:
 - rfcxxxx.txt: ASCII file of RFC
 - rfcxxxx.nroff: markup that produces rfcxxxx.txt

Markup in Editing (2)

- When xml2rfc is used and .xml is submitted:
 - We edit the .xml as much as possible, then
 - use xml2rfc to convert .xml to .nroff.
 - We make final formatting changes by editing .nroff.
- Then we also archive:
 - rfcxxxx.xml: Partially edited version.
- Ideal: edit only .xml, make final .txt using xml2rfc.
 - Working with xml2rfc developers to make this possible.

Normative References

- Set of RFCs linked by Normative refs must be published simultaneously.
- Two hold points:
 - MISSREF state: a doc with Norm Ref to a doc not yet received by RFC Editor.
 - REF state: a doc that is edited but waiting for dependent docs to be edited.



Aaron Falk November 1, 2005, v.

AUTH48 State: Final Author Review

- Last-minute editorial changes allowed But should not be substantive or too extensive.
 - Else, must get OK from AD, WG chair.
- This process can involve a fair amount of work & time
 - AT LEAST 48 hours!
 - All listed authors must sign off on final document
 - Authors should take it seriously review the entire document, not just the diffs.
 - Your last chance to avoid enrollment in the Errata Hall of Infamy!

3. Contents

- Header
- Title
- Header boilerplate (Short copyright, Status of Memo)
- IESG Note (when requested by IESG)
- Abstract
- Table of Contents (not req'd for short docs)
- Body
- Authors' Addresses
- IPR boilerplate
 - See RFC 3667/BCP 78, RFC 3668/BCP 79.

RFC Header

Network Working Group

Request for Comments: 3986

STD: 66

Updates: 1738

Obsoletes: 2732, 2396, 1808

Category: Standards Track

T. Berners-Lee

W3C/MIT

R. Fielding

Day Software

L. Masinter

Adobe Systems

January 2005

- STD sub-series number 66
- Updates, Obsoletes: relation to earlier RFCs.
 - Please note this information in a prominent place in your Internet-Draft; preferably the header.

RFC Header: Another Example

Network Working Group

Request for Comments: 2396

Updates: 1808, 1738

Category: Standards Track

T. Berners-Lee

MIT/LCS

R. Fielding

U. C. Irvine

L. Masinter

Xerox Corporation

August 1998

Corresponding RFC Index entry (search on "2396")

RFC2396	T. Berners-Lee, R. Fielding, L. Masinter	August 1998	ASCII	Obsoleted by RFC3986, Updates RFC1808, RFC1738, Updated by RFC2732 Errata	DRAFT STANDARD
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Red fields were not known when RFC was published

Authors in Header

- Limited to lead authors, document editors.
- There must be very good reason to list more than 5.
- Each author in the header must give approval during AUTH48 review.
- Each author in the header should provide unambiguous contact information in the Authors' Addresses section.
- Other names can be included in Contributors and/or Acknowledgments sections.

Titles

- Should be thoughtfully chosen
- No un-expanded abbreviations except for very wellknown ones (e.g., IP, TCP, HTTP, MIME, MPLS)
- We like short, snappy titles, but sometimes we get titles like:
 - "An alternative to XML Configuration Access Protocol (XCAP) for manipulating resource lists and authorization lists, Using HTTP extensions for Distributed Authoring and Versioning (DAV)"

Abstracts

- Carefully written for clarity (*HARD* to write!)
- No un-expanded abbreviations (again, except well-known)
- No citations
 - Use "RFC xxxx", not "[RFCxxxx]" or "[5]"
- Less than 20 lines! Shorter is good.
- Not a substitute for the Introduction; redundancy is OK.
- We recommend starting with "This document..."

Body of RFC

- First section should generally be "1. Introduction".
- Special sections that may appear:
 - References
 - Contributors, Acknowledgments
 - Internationalization Considerations
 - When needed -- see Section 6, RFC 2277/BCP 18.
- Sections that MUST appear:
 - Security Considerations
 - IANA Considerations

References

- Normative vs. Informative
 - Normative refs can hold up publication.
 - [RFC Editor opinion: Normative gets over-used]
- We STRONGLY recommend against numeric citations "[37]".
- Citations and references must match.
- Handy file of RFC reference text:
 - ftp://ftp.rfc-editor.org/in-notes/rfc-ref.txt
- Include draft strings of any I-Ds.

Copyrights and Patents

- Copyright Issues
 - Specified in RFC 3977/BCP 77 "IETF Rights in Contributions"
 - Independent submissions: generally follow IETF rules
- Patent ("IPR") issues
 - RFC boilerplate specified in RFC 3978/BCP 78
 "Intellectual Property Rights in IETF Technology"
- Generally, you supply the correct boilerplate in the Internet Draft, and the RFC Editor will supply the correct boilerplate in the RFC.

Security Considerations Section

- Security Considerations section required in every RFC.
- See RFC 3552: "Guidelines for Writing RFC Text on Security Considerations"
- Important!

IANA Considerations Section

- Primary input to IANA
- Defines:
 - Individual code points, in one place
 - New registries (number spaces), with future assignment rules.
- Section is required in draft
 - But "No IANA Considerations" section will be removed by RFC Editor.
- See RFC 2434, "Guidelines for Writing an IANA Considerations Section in RFCs"

Current Internet Standards

- "What are the current Internet standards?"
 - See STD 1: "Official Internet Protocol Standards"
 - In practice, reality is so complex that this is probably not even a valid question.
 - "Roadmaps" are desirable
 - ISDs might be better

4. How to Write an RFC

- Some editorial guidelines
- Improving your writing
- Preparation tools
- MIBs and formal languages

"Instructions to Request for Comments (RFC)
Authors". draft-rfc-editor-rfc2223bis-08.txt aka
ftp.rfc-editor.org/in-notes/rfceditor/instructions2authors.txt



- Immutability once published, never change
- Not all RFCs are standards
- All RFCs in English
 - RFC 2026 allows translations
 - British English is allowed in principle, but there is some preference for American English.
- Consistent Publication Format
 - ASCII (also .txt.pdf for Windows victims)
 - Also .ps or .pdf (special process for handling)



- ASCII, 72 char/line.
- 58 lines per page, followed by FF (^L).
- No overstriking or underlining.
- No "filling" or (added) hyphenation across a line.
- <.><sp>< between sentences.</p>
- No footnotes.

RFC Editing

- For correct syntax, spelling, punctuation: always.
 - Sometimes exposes ambiguities
- To improve clarity and consistency: sometimes.
 - e.g., expand each abbreviation when first used.
- To improve quality of the technical prose: occasionally.
- By general publication standards, we edit lightly.
 - Balance: author preferences against consistency and accepted standards of technical English.

Preserving the Meaning

A comment that does not faze us:

"How dare you change my perfect prose?"

- Just doing our job as editors!
- A comment that concerns us very much: "You have changed the meaning of what I wrote".
 - Often, because we misunderstood what you meant.
 - That implies that your prose is ambiguous.
 - You should recast the sentence/paragraph to make it clear and unambiguous, so even the RFC Editor cannot mistake the meaning.;-)

The RFC Editor checks many things

- Header format and content
- Title format
- Abstract length and format
- Table of Contents
- Presence of required sections
- No uncaught IANA actions
- Spelling checked
- ABNF/MIB/XML OK, using algorithmic checker
- Citations match references
- Most recent RFC/I-D cited
- Pure ASCII, max 72 char lines, hyphens, etc.
- Header and footer formats
- Page breaks do not create "orphans"
- References split into Normative, Informative
- Boilerplate OK

Writing RFCs

- Simple fact: writing clear, unambiguous technical prose is very *HARD*!!
- Not literary English, but comprehensibility would be nice!
 - Avoid ambiguity.
 - Use consistent terminology and notation.
 - If you choose "4-bit", then use it throughout (not "four-bit").
 - Define each term and abbreviation at first use.
 - Expand every abbreviation at first use.

Style

- Primary goal: clear, unambiguous technical prose.
- The RFC Editor staff generally follows two sources for style advice:
 - Strunk & White (4th Ed., 2000)
 - "A Pocket Style Manual" by Diana Hacker (4th Ed., 2004)
- In any case, internally consistent usage is objective.

Sentence Structure

- Simple declarative sentences are good.
 - Flowery, literary language is not good.
 - Goal: Simple descriptions of complex ideas.
- Avoid long, involuted sentences. You are not James Joyce.
 - Use ";" | ", and" | ", or" sparingly to glue successive sentences together.
- Make parallel clauses parallel in syntax.
 - Bad: "... whether the name should be of fixed length or whether it is variable length".

Grammar Tips

- Avoid passive voice (backwards sentences).
 - "The nail was hit on the head by you."
 - "In this section, the network interface is described."
 vs. "This section describes the network interface."
- "which" vs. "that"
 - "which" is used parenthetically and follows a comma.
 - "The interface which the users see is too complex." that /
 - Or better: "The user interface is too complex."
- Some Protocol Engineers over-capitalize Nouns.

Punctuation Conventions

- A comma before the last item of a series:
 - "TCP service is reliable, ordered, and full-duplex"
 - Avoids ambiguities, clearly shows parallelism.
- Punctuation outside quote marks: "This is a sentence"{.|?|!}
 - To avoid computer language ambiguities.



In Tech Specs, Ambiguity is BAD

Agenda of the 65th IETF Meeting SUNDAY, March 19, 2006

1300-1500 – Editing an RFC Tutorial

Lean and Mean

- You often improve your writing by simply crossing out extraneous extra words.
 - Look at each sentence and ask yourself, "Do I need every word to make my meaning clear and unambiguous?"
 - English professors call it the "Lard Factor" (LF) [Lanham79]
 - "If you've not paid attention to your own writing before, think of a LF of 1/3 to 1/2 as normal and don't stop revising until you've removed it." [Lanham79]

[Lanham79] Richard Lanham, "Revising Prose", Scribner's, New York, 1979.

A Real Example

"When the nature of a name is decided one must decide whether the name should be of fixed length or whether it is variable length." (25 words)

- A. "One must decide whether the length of a name should be fixed or variable." (14 words, LF = .44)
- B. "We may choose fixed or variable length for a particular class of name." (13 words)
- C. "A name may have fixed or variable length."(7 words, LF = .72)

Another Real Example

"One way to avoid a new administrative overhead would be for individuals to be able to generate statistically unique names." (20 words)

- New administrative overhead can be avoided by allowing individuals to generate statistically unique names."
 (14 words, LF = .30)
- B. "Allowing individuals to generate statistically unique names will avoid new administrative overhead." (12 words, LF = .40)

Another (reality-based) Example

"This is the kind of situation in which the receiver is the acknowledger and the sender gets the acknowledgments." (19 words)

A. "An acknowledgment action is taking place from the receiver and the sender." (11, LF=.42)

B. "The receiver returns acknowledgments to the sender." (7, LF=.63)

Another Real Example

"Also outside the scope are all aspects of network security which are independent of whether a network is a PPVPN network or a private network (for example, attacks from the Internet to a webserver inside a given PPVPN will not be considered here, unless the way the PPVPN network is provisioned could make a difference to the security of this server)."

- Two sentences!!
- "make a difference to" -> "affect"

Seeking Clarity, Resolving Ambiguity

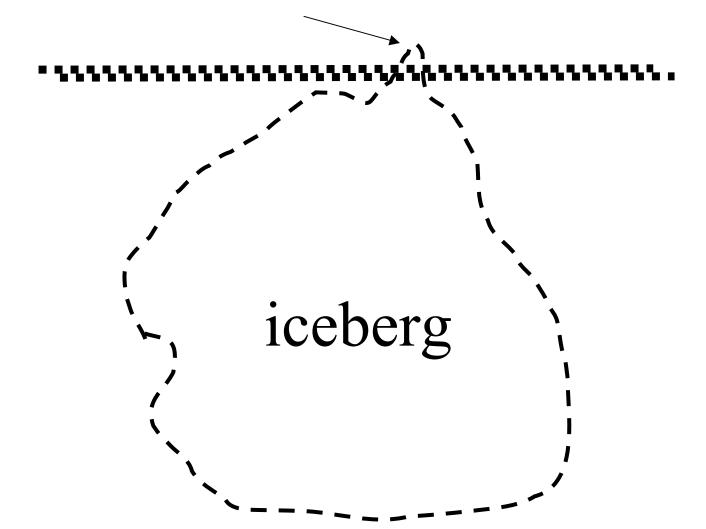
"With appropriate consideration in router design, in the event of failure of a BGP peer to provide the equivalent filtering, the risk of compromise can be limited to the peering session on which filtering is not performed by the peer or the interface or line card on which the peering is supported."

"Appropriate router design can limit the risk of compromise when a BGP peer fails to provide adequate filtering. The risk can be limited to the peering session on which filtering is not performed by the peer, or to the interface or line card on which the peering is supported." ??

Removing Ambiguity

- "Consequently, BGP security is secondarily dependent on the security of the protocols by which the platform is operated, managed and configured that might signal this event."
- A. "Consequently, BGP security is secondarily dependent on the security of the platform's operation, management, and configuration protocols that might signal this event." OR
- B. "Consequently, BGP security is secondarily dependent on the security of the operation, management, and configuration protocols of the platform that might signal this event." ??





Format for Readabilty

- Careful use of indentation and line spacing can greatly improve readability.
 - Goes a long way to compensate for single font.
 - Bullets often help.
 - High density on a page may be the enemy of clarity and readability.
- The RFC Editor will format your document according to these guidelines, but it is helpful if you can do it in the I-D.

Hard to read

3.1 RSVP Message Formats

3.1.1 Common Header

The fields in the common header are as follows:

Flags: 4 bits

0x01-0x08: Reserved

No flag bits are defined yet.

Send_TTL: 8 bits

The IP TTL value with which the message is sent. See Section 3.8.

Formatted for Easier Reading

3.1. Message Formats

3.1.1. Common Header

The fields in the common header are as follows:

Flags: 4 bits

0x01-0x08: Reserved

No flag bits are defined yet.

Send_TTL: 8 bits

The IP TTL value with which the message is sent. See Section 3.8.

Text Formatting Tools

- Author tools: www.rfc-editor.org/formatting.html
 - xml2rfc
 - nroff
 - Microsoft word templates
 - LaTeX
- RFC Editor does final RFC formatting using venerable Unix tool nroff –ms.



- Read RFC2629.txt Marshall Rose
 - Writing I-Ds and RFCs using XML
 - Explains use of DTD for RFC production
- Engine to convert xml to txt or nroff. Available online at: http://xml.resource.org/
 - If you use xml2rfc, send the xml file to the RFC Editor. It will save us work on your document.

nroff, groff

- Handy templates for authors using nroff:
 - ftp.rfc-editor.org/in-notes/rfc-editor/2-nroff.template
 - Published in 1991 J. Postel
 - Gives instructions on using macros for creating RFCs
 - www.1-4-5.net/~dmm/generic draft.tar.gz
 - Updated nroff template maintained by David Meyer.
- If you use nroff -ms (without a private make file), give the nroff source to the RFC Editor.

MIB RFCs: A Special Case

- MIB references
 - O&M Web Site at <u>www.ops.ietf.org/</u>
 - MIB doctors at <u>www.ops.ietf.org/mib-doctors.html</u>
 - MIB Review: See RFC 4181, BCP 111: "Guidelines for Authors and Reviewers of MIB Documents"
- Tools
 - http://www.ops.ietf.org/mib-review-tools.html
 - smilint at <u>www.ibr.cs.tu-bs.de/projects/libsmi/</u>
 - SMICng at <u>www.snmpinfo.com/</u>
- MIB boilerplate
 - The Internet-Standard Management Framework: <u>www.ops.ietf.org/mib-boilerplate.html</u>
 - Security Considerations: www.ops.ietf.org/mib-security.html

Use of Formal Languages

- Formal languages and pseudo-code can be useful as an aid in explanations, although English remains the primary method of describing protocols.
- Pseudo-code judged on the basis of clarity.
- Formal Languages (e.g., ABNF, XML, ASN.1 (MIBs))
 - Requires a normative reference to language specification
 - RFC Editor will run verifier program.
- www.ietf.org/IESG/STATEMENTS/pseudo-code-in-specs.txt
- ftp.rfc-editor.org/in-notes/rfc-editor/UsingPseudoCode.txt

5. Conclusion: Problem Areas (1)

- Normative references
 - Practical effect: can hold up publication
 - RFC Editor opinion: Normative is over-used.
- MUST/MAY/SHOULD/... requirement words
 - Do they belong in Informative documents at all?
 - Tend to overuse makes it sound important.
 - Worse, often inconsistent use

Problem Areas (2)

- URLs in RFCs
 - Some are more stable than others...
- Updates and Obsoletes relationships
 - Some disagreement on what they mean
 - At best, only high-order bit of complex relationship
 - RFC Editor hopes ISD (Internet Standard Document)
 [Newtrk] will be more systematic and complete.

Hints to Authors

- Respond promptly to all messages from RFC Ed.
- Read your I-D carefully before submission, as you would read the final document in AUTH48!
- If your I-D is in the queue, and you see typos or have a new email address, send us an email.
- DON'T use numeric citations.
- Avoid gratuitous use of requirement words (MUST, etc.)
- Craft title and abstract carefully.
- Remember that your document should be understandable by people who are not deep experts in the subject matter.

Authoritative References

Overview of RFC publication: <u>www.rfc-editor.org/howtopub.html</u>

"Instructions to Request for Comments (RFC) Authors". draft-rfc-editor-rfc2223bis-08.txt aka ftp.rfc-editor.org/in-notes/rfceditor/instructions2authors.txt

Thank you ...

- Questions? Comments?
- mailto:rfc-editor@rfc-editor.org
- mailto: rfc-interest@rfc-editor.org